

CCRT: Categorical and Combinatorial Representation Theory.

From combinatorics of universal problems
to usual applications.

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Collaboration at various stages of the work
and in the framework of the Project

Evolution Equations in Combinatorics and Physics :

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C. Tollu, N. Behr, V. Dinh, C. Bui,
Q.H. Ngô, N. Gargava, S. Goodenough.

CIP seminar,

Friday conversations:

For this seminar, please have a look at Slide CCRT[n] & ff.

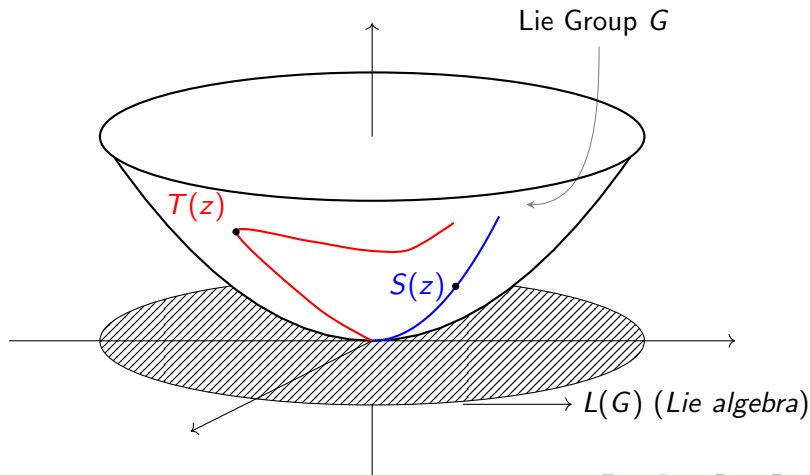
Goal of this series of talks

The goal of these talks is threefold

- 1 Category theory aimed at “free formulas” and their combinatorics
- 2 How to construct free objects
 - 1 w.r.t. a functor with - at least - two combinatorial applications:
 - 1 the two routes to reach the free algebra
 - 2 alphabets interpolating between commutative and non commutative worlds
 - 2 without functor: sums, tensor and free products
 - 3 w.r.t. a diagram: limits
- 3 Representation theory: Categories of modules, semi-simplicity, isomorphism classes i.e. the framework of Kronecker coefficients.
- 4 MRS factorisation: A local system of coordinates for Hausdorff groups.

CCRT[10]: Lie-theoretic aspects of Noncommutative Differential Equations.

- 1 We start from the picture of last friday (with two paths drawn)



2 When one sees the following

Proposition

i) Series $S_{Pic}^{z_0}$ is the unique solution of

$$\begin{cases} \mathbf{d}(S) = M.S \text{ with } M \in \mathcal{H}(\Omega)_+ \langle\langle X \rangle\rangle & (\text{HNCDE}) \\ S(z_0) = 1_{\mathcal{H}(\Omega) \langle\langle X \rangle\rangle} \end{cases} \quad (1)$$

ii) The set of solutions of $\mathbf{d}(S) = M.S$, (HNCDE) is $S_{Pic}^{z_0} \cdot \mathbb{C} \langle\langle X \rangle\rangle$.

ii) The complete set of solutions of (HNCDE + $\langle S|1 \rangle = 1$) is then $S_{Pic}^{z_0} \cdot (\mathbf{1} + \mathbb{C}_+ \langle\langle X \rangle\rangle)$ (the NC Galois group is then in red).

3 Here Picard's process is defined by

The series $S_{Pic}^{z_0}$ ($z_0 \in \Omega$) can be computed by Picard's process

$$S_0 = 1_{X^*}; \quad S_{n+1} = 1_{X^*} + \int_{z_0}^z M.S_n \quad (2)$$

and its limit is $S_{Pic}^{z_0} := \lim_{n \rightarrow \infty} S_n (= \sum_{w \in X^*} \alpha_{z_0}^z(w) w)$.

- 4 and the following

Theorem (Analyse et Géométrie, Cargèse, IESC, 21-24 Nov. 2017)

Let

$$(TSM) \quad dS = M_1 S + S M_2 . \quad (3)$$

with $S \in \mathcal{H}(\Omega) \langle\langle X \rangle\rangle$, $M_i \in \mathcal{H}(\Omega)_+ \langle\langle X \rangle\rangle$

- (i) Solutions of (TSM) form a \mathbb{C} -vector space.
- (ii) Solutions of (TSM) have their constant term (as coefficient of 1_{X^*}) which are constant functions (on Ω); there exists solutions with constant coefficient 1_Ω (hence invertible).
- (iii) If two solutions coincide at one point $z_0 \in \Omega$ (or asymptotically), they coincide everywhere.

- 5 ... one cannot prevent thinking about Lie theory.

Let us take a look there.

G	$L(G)$	Cat	Eqns	Char=1
$U(n)$	$dX + dX^* = 0$	\mathbb{R}	$X(X^*) = I$	-
$SU(n)$	$dX + dX^* = 0$ $tr(X) = 0$	\mathbb{R}	$X(X^*) = I$	$det(X)$
$GL(n, \mathbf{k})$	$\mathbf{k}^{n \times n}$	\mathbb{R}, \mathbb{C}	$det(X) \neq 0$	-
$SL(n, \mathbf{k})$	$tr(X) = 0$	\mathbb{R}, \mathbb{C}	$det(X) = 1$	or $det(X)$
$Mag(\mathbf{k}, X)$	$\mathbf{k}_+ \langle\langle X \rangle\rangle$	$\mathbb{Q} \subset \mathbf{k}$	$\epsilon(S) = 1$	-
$Haus(\mathbf{k}, X)$	$\Xi_{inf}(\mathbf{k}, X)$	$\mathbb{Q} \subset \mathbf{k}$	$\Delta_{III}(S) = S \otimes S$	$\langle S 1_{X^*} \rangle = 1$

⑥ We now take advantage of some simple facts about Lie algebras

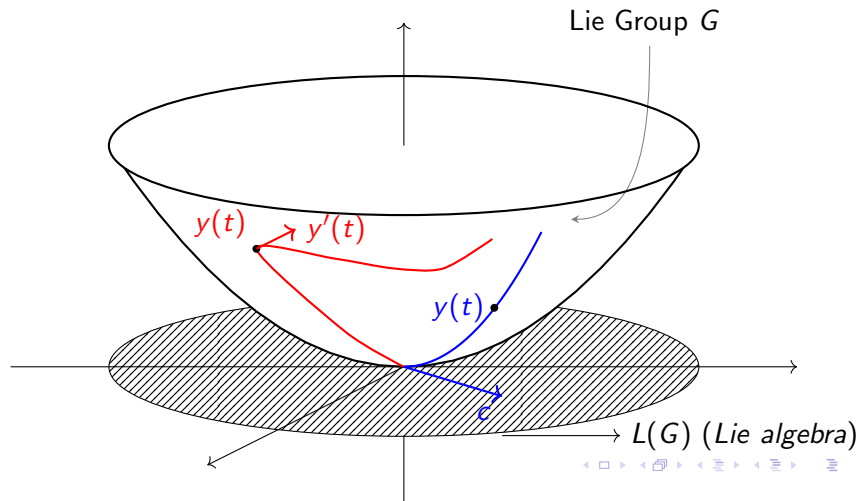
- ① There is a local Log-Exp mutually inverse correspondence (in the formal - unipotent - world it will global)
- ② If a C^1 path $t \mapsto \gamma(t)$ is drawn on G ,
 - i) for each t_0 , $\gamma'(t_0) \in T_{\gamma(t_0)}$ and then
 - ii) $m(t) = \gamma'(t)(\gamma(t))^{-1}$ is C^0 and drawn on $L(G)$.
- ③ Then γ is a solution of the system

$$\begin{cases} y' &= m(t).y \\ y(t_0) &= y_0 \end{cases} \quad (4)$$

- ④ Conversely “if a C^1 path γ is a solution of the system (4) (with $m(t)$ C^0 drawn on $L(G)$ and $y_0 \in G$), then γ is drawn on G ” through Poincaré-Hausdorff formula.
- ⑤ The proof of this converse holds for (closed) subgroups of invertible in Banach algebras and
- ⑥ One-parameter groups (OPG) are obtained with $m(t) = c \in L(G)$, $t_0 = 0$, $y_0 = 1$ (precisely this one is $\exp(c.t)$ i.e. the OPG with infinitesimal generator c).
- ⑦ We will return to OPG later. For now, let us focus on general paths in the Noncommutative realm.

Every path drawn on the group is a solution of

$$y'(t) = m(t)y(t)$$



Examples (Lie-group side)

- 1 The Lie algebra of $SU(n)$ ($L(SU(n)) = \mathfrak{su}(n)$) consists of $n \times n$ skew hermitian traceless complex matrices (see table in slide 6). For example

$$\mathfrak{su}(2) = \left\{ \begin{pmatrix} i a & -\bar{z} \\ z & -i a \end{pmatrix} : a \in \mathbb{R}, z \in \mathbb{C} \right\} \quad (5)$$

- 2 Therefore a basis of its Lie algebra is

$$u_1 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}, \quad u_2 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad u_3 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad (6)$$

and, for C^1 functions (i.e. in some $C^1([a, b], \mathbb{R})$) f_i , $i = 1..3$ the path $\gamma(t) = e^{f_1(t) \cdot u_1} e^{f_2(t) \cdot u_2} e^{f_3(t) \cdot u_3}$ is drawn on $SU(2)$.

- 3 Then, using conjugations, one can calculate explicitly the left multiplier $m(t)$ of $\gamma(t)$ i.e. $m(t)$ such that $\gamma'(t) = m(t)\gamma(t)$ (left to the reader so far).

Formal side

- 7 One can use Picard's process to construct solutions of NCDE (3) in slide 5 (and this will be generalized to other monoids). Doing this, one obtains C^ω -paths drawn on the Magnus group

$$\text{Mag}(\mathbb{C}, X) = 1 + \mathbb{C}_+ \langle\langle X \rangle\rangle$$

- 8 It suffices to modify this process by

$$S_0 = 1_{X^*} ; S_{n+1} = 1_{X^*} + \int_{z_0}^z M_1 \cdot S_n + S_n \cdot M_2 \quad (7)$$

- 9 and the limit S (which is easily proved to exist as $\langle M_i | 1_{X^*} \rangle = 0$) is the unique solution of

$$\begin{cases} \mathbf{d}(S) &= M_1 \cdot S + S \cdot M_2 \\ S(z_0) &= 1_{\mathcal{H}(\Omega) \langle\langle X \rangle\rangle} \end{cases} \quad (8)$$

- 10 Remark that S is solution of an equation $S' = MS$ as it is drawn on $\text{Mag}(\mathbb{C}, X) = 1 + \mathbb{C}_+ \langle\langle X \rangle\rangle$.

An application to renormalization

- 11 One can construct, using improper integrals the solution G_0 of the following system (with asymptotic initial condition)

$$\begin{cases} \mathbf{d}(S) &= \left(\frac{x_0}{z} + \frac{x_1}{1-z}\right) \cdot S \\ \lim_{z \rightarrow 0} S \cdot e^{-x_0 \log(z)} &= 1_{\mathcal{H}(\Omega) \ll \langle X \rangle} \end{cases} \quad (9)$$

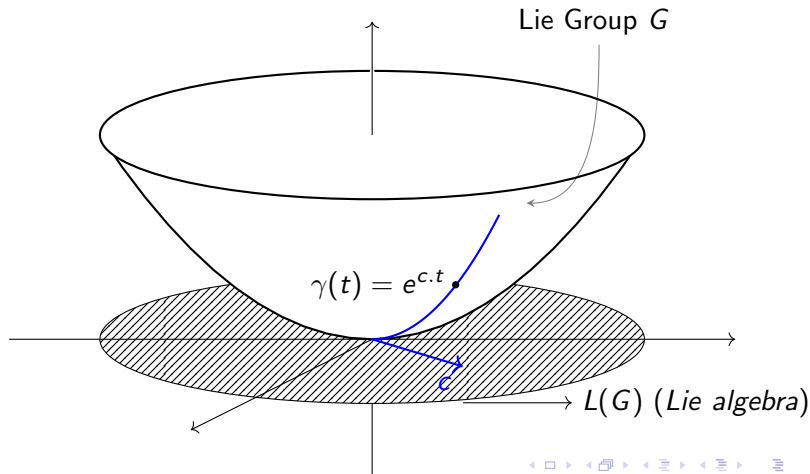
- 12 It then interesting to study $T = G_0 \cdot e^{-x_0 \log(z)}$ which satisfies the two sided evolution equation (TSM)

$$\mathbf{d}(T) = \left(\frac{x_0}{z} + \frac{x_1}{1-z}\right) \cdot T + T \cdot \left(-\frac{x_0}{z}\right)$$

- 13 Next, one proves that T is group-like, factorizes through (MRS) and that $\lim_{z \rightarrow 0} T(z) = 1$.
- 14 We now embark to exponentials (OPG), MRS and Wei-Norman theorem.

Exponentials (OPG)

- 15 Here a one-parameter group (OPG) with infinitesimal generator (i.e. tangent vector at the origin). These OPG are also geodesics for every left-invariant Riemannian structure.



Product of exponentials: Wei-Norman theorem

- 16 We have the following theorem (true for all \mathbf{k} -Lie group).
See also [3] ch III §8 Ex.4 and Mathoverflow question “Local coordinates on infinite dimensional Lie groups and factorization of Riemann polylogarithms”.
<https://mathoverflow.net/questions/203771>

Theorem (Wei-Norman theorem)

Let G be a \mathbf{k} -Lie group (of finite dimension) ($\mathbf{k} = \mathbb{R}$ or $\mathbf{k} = \mathbb{C}$) and let \mathfrak{g} be its \mathbf{k} -Lie algebra. Let $B = \{b_i\}_{1 \leq i \leq n}$ be a (linear) basis of it. Then, there is a neighbourhood W of 1_G (within G) and n analytic functions (local coordinates)

$$W \rightarrow \mathbf{k}, (t_i)_{1 \leq i \leq n}$$

such that, for all $g \in W$

$$g = \prod_{1 \leq i \leq n}^{\rightarrow} e^{t_i(g)b_i} = e^{t_1(g)b_1} e^{t_2(g)b_2} \dots e^{t_n(g)b_n}.$$

Towards the formal realm: classical construction/1

All definitions of algebra (resp. large algebra) of a monoid, Lie algebra, enveloping algebra, used here are standard and can be taken e.g. from [1, 3] (I can go into detail interactively on request by email).

Let X be a set (of variables, or indeterminates, or an alphabet), k a \mathbb{Q} -algebra and let

$$k\langle X \rangle, k\langle\langle X \rangle\rangle, \mathcal{L}_k\langle X \rangle, \mathcal{L}_k\langle\langle X \rangle\rangle$$

be respectively the free algebra (i.e. the algebra of noncommutative polynomials or the algebra of the free monoid X^*), the algebra of noncommutative formal power series (i.e. the large algebra of the free monoid X^*) see [1], the free Lie algebra and the Lie algebra of Lie series [3]. We will use the natural pairing between $k\langle\langle X \rangle\rangle = k^{X^*}$ and $k\langle X \rangle = k^{(X^*)}$ given by the following sum on the words

$$\langle S|P \rangle = \sum_w \text{coeff}(S, w)\text{coeff}(P, w)$$

Towards the formal realm: classical construction/2

It is well known that

$$k\langle X \rangle = \mathcal{U}(\mathcal{L}_k\langle X \rangle).$$

As such, it admits a structure of Hopf algebra

$$(k\langle X \rangle, \text{conc}, 1_{X^*}, \Delta_{\text{shuffle}}, \epsilon, S)$$

conc being the concatenation, Δ_{shuffle} being the dual law of the shuffle product, $\epsilon(P) = \langle P | 1_{X^*} \rangle$ (constant term) and $S(a) = -a$ for all $a \in X$; Every basis ($B = (b_i)_{i \in I}$; I totally ordered) of $\mathcal{L}_k\langle X \rangle$ (which is free, for all rings k) can be extended to a Poincaré-Birkhoff-Witt basis of $k\langle X \rangle$, parametrized by the multiindices of $\mathbb{N}^{(I)}$. The multi-index product is defined as follows. For every $\alpha \in \mathbb{N}^{(I)}$, we set

$$B^\alpha = b_{i_1}^{\alpha_1} b_{i_2}^{\alpha_2} \cdots b_{i_m}^{\alpha_m}$$

with $\text{supp}(\alpha) = \{i_1 < i_2 < \cdots i_m\}$.

Towards the formal realm: classical construction/3

Now, if B is multi-homogeneous (w.r.t. the $\mathbb{N}^{(X)}$ -grading), so is $(B^\alpha)_{\alpha \in \mathbb{N}^{(I)}}$ and there is a unique family of polynomials B_α such that

$$\langle B_\alpha | B^\beta \rangle = \delta_{\alpha, \beta} \quad (\text{Dual - Basis})$$

Now within the algebra of double series (whose support is $k^{X^* \otimes X^*}$ endowed with the law *shuffle* $\hat{\otimes}$ *conc*, M.P. SCHÜTZENBERGER (see [3,4]) gave the beautiful formula

$$\sum_{w \in X^*} w \hat{\otimes} w = \prod_{i \in I}^{\rightarrow} e^{B_{e_i} \hat{\otimes} b_i} \quad (10)$$

where e_i are the irreducibles of the monoid $\mathbb{N}^{(I)}$ defined by $e_i(j) = \delta_{i,j}$ (in particular $B^{e_i} = b_i$). This can be used to provide a system of local coordinates on the *Hausdorff group* (this is the closed subgroup of the Magnus group of primitive series).

Towards the formal realm: classical construction/4

$$\text{Haus}_k(X) = \{e^L\}_{L \in \mathcal{L}_k \langle\langle X \rangle\rangle} = \{S \in k \langle\langle X \rangle\rangle \mid \epsilon(S) = 1, \Delta_{\text{shuffle}}(S) = S \hat{\otimes} S\}$$

because, in this case, $S \otimes Id$ is compatible with the law of the double algebra and then, applying this operator to (10), we get

$$S = (S \hat{\otimes} Id) \left(\sum_{w \in X^*} w \hat{\otimes} w \right) = \prod_{i \in I} \vec{e}^{\langle S | B_{e_i} \rangle b_i}$$

which is a system of local coordinates for the group $\text{Haus}_k(X)$.

Towards the formal realm: classical construction/4

Application to Riemann zeta functions. –

When one multiplies several zeta values

$$\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}$$

multi-zeta values do appear, they are defined by

$$\zeta(s_1, s_2, \dots, s_k) = \sum_{n_1 > n_2 > \dots > n_k \geq 1} \frac{1}{n_1^{s_1} n_2^{s_2} \dots n_k^{s_k}}. \quad (11)$$

Towards the not-so-formal realm

When s_1, s_2, \dots, s_k are integers, the link with the shuffle product is that the quantity (11) converges when $s_1 > 1$ and, coding (s_1, s_2, \dots, s_k) by the word $w = (x_0^{s_1-1} x_1 x_0^{s_1-1} x_1 \dots x_0^{s_k-1} x_1)$ (here $X = \{x_0, x_1\}$) and recoding (11) by $\tilde{\zeta}(w) = \zeta(s_1, s_2, \dots, s_k)$ one can prove that $\tilde{\zeta}$ can be extended uniquely as a shuffle character of $\mathbb{Q}\langle X \rangle$ satisfying $\tilde{\zeta}(x_0) = \tilde{\zeta}(x_1) = 0$ so that, applying (11) we get

$$\tilde{\zeta} = (\tilde{\zeta} \hat{\otimes} Id) \left(\sum_{w \in X^*} w \hat{\otimes} w \right) = \prod_{i \in I}^{\rightarrow} e^{\tilde{\zeta}(B_{e_i}) b_i} \quad (12)$$

for every multihomogeneous basis B of the free Lie algebra $\mathcal{L}_{\mathbb{Q}}\langle X \rangle$.

Towards the formal realm: general construction/1

Coda: Given \mathfrak{g} a \mathbf{k} -Lie algebra (finite or infinite dimensional), which is free as a k -module (k is, as above, a \mathbb{Q} -algebra), given any ordered basis $B = (b_i)_{i \in I}$ of \mathfrak{g} . As above, for every $\alpha \in \mathbb{N}^{(I)}$, we set

$$B^\alpha = b_{i_1}^{\alpha_1} b_{i_2}^{\alpha_2} \cdots b_{i_m}^{\alpha_m}$$

with $\text{supp}(\alpha) = \{i_1 < i_2 < \cdots < i_m\}$. We now consider the space

$$\mathcal{A} = \text{span}_k \{(B_\alpha) \mid \alpha \in \mathbb{N}^{(I)}\} \subset \mathcal{U}^*(\mathfrak{g}) \quad (13)$$

It is an convolution subalgebra, due to the formula

$$B_\alpha * B_\beta = \frac{(\alpha + \beta)!}{\alpha! \cdot \beta!} B_{\alpha + \beta} \quad (14)$$

Towards the formal realm: general construction/2

Every character χ of $(\mathcal{A}, *, \epsilon)$ can be factored in an MRS way

$$\chi = \prod_{i \in I}^{\rightarrow} e^{\chi(B_{e_i}) b_i} \quad (15)$$

for the topology of pointwise convergence on \mathcal{A} (k being discrete and the notation of B_α being those of (Dual – Basis)).

Remark. – This formula holds for every character with values in a commutative \mathbf{k} -algebra $(\mathcal{B}, *_{\mathcal{B}}, 1_{\mathcal{B}})$, in particular with $\mathcal{B} = \mathcal{A}$, one has

$$Id_{\mathcal{U}} = \sum_{\alpha \in \mathbb{N}^{(I)}} B_\alpha \otimes_{Hom} B^\alpha = \prod_{i \in I}^{\rightarrow} e^{B_{e_i} \otimes_{Hom} b_i} \quad (16)$$

where, for $(f, b) \in \mathcal{U}^* \times \mathcal{U}$, $f \otimes_{Hom} b$ stands for $g \in End(\mathcal{U})$ such that $g(x) = f(x).b$.

Concluding remark and final questions

- 1 A MRS factorization exists with monoids (called free partially commutative, see [7])

$$M(X, \theta) = \langle X; (xy = yx)_{(x,y) \in \theta} \rangle_{\mathbf{Mon}} \quad (17)$$

where $\theta \subset X \times X$ is a reflexive undirected graph. This is proved using $\mathbf{k}[M(X, \theta)] = \mathcal{U}(\text{Lie}_{\mathbf{k}}(X, \theta))$.

- 2 A unipotent Magnus group with a nice Log-Exp correspondence can be defined for every locally finite monoid. Is there a general MRS factorization ?
- 3 In the sound cases, what is the combinatorics of different orders ? (Not increasing or decreasing Lyndon words.) Are they useful ?

Thank you for your attention.

Links

① Categorical framework(s)

<https://ncatlab.org/nlab/show/category>

[https://en.wikipedia.org/wiki/Category_\(mathematics\)](https://en.wikipedia.org/wiki/Category_(mathematics))

② Universal problems

<https://ncatlab.org/nlab/show/universal+construction>

https://en.wikipedia.org/wiki/Universal_property

③ Paolo Perrone, *Notes on Category Theory with examples from basic mathematics*, 181p (2020)

arXiv:1912.10642 [math.CT]

https://en.wikipedia.org/wiki/Abstract_nonsense

④ Heteromorphism

<https://ncatlab.org/nlab/show/heteromorphism>

⑤ D. Ellerman, *MacLane, Bourbaki, and Adjoints: A Heteromorphic Retrospective*, David Ellerman Philosophy Department, University of California at Riverside

Links/2

- 6 https://en.wikipedia.org/wiki/Category_of_modules
- 7 <https://ncatlab.org/nlab/show/Grothendieck+group>
- 8 Traces and hilbertian operators
<https://hal.archives-ouvertes.fr/hal-01015295/document>
- 9 State on a star-algebra
<https://ncatlab.org/nlab/show/state+on+a+star-algebra>
- 10 Hilbert module
<https://ncatlab.org/nlab/show/Hilbert+module>

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- [2] N. Bourbaki, *Algèbre, Chapitre 8*, Springer, 2012.
- [3] N. Bourbaki.– *Lie Groups and Lie Algebras, ch 1-3*, Addison-Wesley, ISBN 0-201-00643-X
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https://en.wikipedia.org/wiki/Graded_ring
- [25] How to construct the coproduct of two non-commutative rings
<https://math.stackexchange.com/questions/625874>
- [26] Definition of (commutative) free augmented algebras
<https://mathoverflow.net/questions/352726>
- [27] Closed subgroup (Cartan) theorem without transversality nor Lipschitz condition within Banach algebras
<https://mathoverflow.net/questions/356531>
- [28] Definition of augmented algebras (general)
<https://ncatlab.org/nlab/show/augmented+algebra>